

PTO/SB05 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032  
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Jc867 U.S. PTO

04/19/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b>	Commissioner for Patents Box Patent Application Washington, D.C. 20231
See MPEP chapter 600 concerning utility patent application contents.			
1.	<input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2.	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3.	<input checked="" type="checkbox"/> Specification [Total Page 15] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( <i>if filed</i> ) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4.	<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2 ]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5.	Oath and Declaration	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
a.	<input type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )	
b.	<input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i>	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
i.	<input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	13. <input type="checkbox"/> Preliminary Amendment	
6.	<input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
		16. <input type="checkbox"/> Other:	

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

of prior application No :  
Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## **18. CORRESPONDENCE ADDRESS**

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Name (Print/Type)	SARAH S. BITTNER	Registration No. (Attorney/Agent)	47,426
Signature		Date April 19, 2001	

Burden Hour Statement: This form is estimated to take 0.2 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231

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# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

<b>TOTAL AMOUNT OF PAYMENT</b>	\$ 1,114.00
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**Complete if Known**

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:  
Deposit Account Number: 03-2769  
Deposit Account Name: Conley, Rose & Tayon, P.C.  
 Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
See 37 CFR 1.27
2.  Payment Enclosed:  
 Check     Credit Card     Money Order     Other

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	65 Surcharge - late filing fee or oath	\$
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	\$
139	130	139 130 Non-English specification	\$
147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination	\$
112	920*	112 920* Requesting publication of SIR prior to Examiner action	\$
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	\$
115	110	215 55 Extension for reply within first month	\$
116	390	216 195 Extension for reply within second month	\$
117	890	217 445 Extension for reply within third month	\$
118	1,390	218 695 Extension for reply within fourth month	\$
128	1,890	228 945 Extension for reply within fifth month	\$
119	310	219 155 Notice of Appeal	\$
120	310	220 155 Filing a brief in support of an appeal	\$
121	270	221 135 Request for oral hearing	\$
138	1,510	138 1,510 Petition to institute a public use proceeding	\$
140	110	240 55 Petition to revive - unavoidable	\$
141	1,240	241 620 Petition to revive - unintentional	\$
142	1,240	242 620 Utility issue fee (or reissue)	\$
143	440	243 220 Design issue fee	\$
144	600	244 300 Plant issue fee	\$
122	130	122 130 Petitions to the Commissioner	\$
123	50	123 50 Petitions related to provisional applications	\$
126	180	126 180 Submission of Information Disclosure Stmt	\$
581	40	581 40 Recording each patent assignment per property (times number of properties)	\$
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	\$
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	\$
179	710	279 355 Request for Continued Examination (RCE)	\$
169	900	169 900 Request for expedited examination of a design application	\$
Other fee (specify)			\$

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$****FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing Fee	\$710.00
106	320	206 160 Design filing fee	\$
107	490	207 245 Plant filing fee	\$
108	710	208 355 Reissue filing fee	\$
114	150	214 75 Provisional filing fee	\$

**SUBTOTAL (1) \$ 710.00****2. EXTRA CLAIM FEES**

Extra Claims			Fee from below	Fee Paid
Total Claims	38	- 20** = 18	x 18.00	= \$324.00
Independent Claims	4	3** = 1	x 80.00	= \$80.00
Multiple Dependent			270.00	= \$ 00 00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent Claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 404.00**

\*\* or number previously paid, if greater; For Reissues, see above

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	SARAH S. BITTNER	Registration No. (Attorney/Agent)	47,426	Telephone	(713) 238-8000
Signature	<i>Sarah S. Bittner</i>			Date	April 19, 2001

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